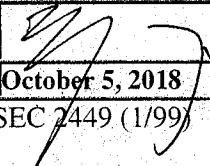


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For Internal Use Only		Submit 1 Original		OMB Approval No.:
Sec File No. 91 -		and 9 Copies		Expires:
Estimated average burden hours per response: 2.00				
<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)</b>				
<b>Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934</b>				
<b>READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM</b>				
<b>Part I Initial Listing Report</b>				
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:				
New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges)				
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):				
Open-end Management Investment Company				
3. Class of New Derivative Securities Product:				
Managed Fund Shares				
4. Name of Underlying Instrument:				
n/a				
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:				
n/a				
6. Ticker Symbol(s) of New Derivative Securities Product:				
UOCT				
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:				
n/a				
8. Settlement Methodology of New Derivative Securities Product:				
Regular way trades settle on T+2/Book entry only held in DTC.				
9. Position Limits of New Derivative Securities Product (if applicable):				
Not applicable.				
<b>Part II Execution</b>				
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Name of Official Responsible for Form:				
Martha Redding				
Title:				
Associate General Counsel and Assistant Secretary				
Telephone Number:				
(212) 656-2938				
Manual Signature of Official Responsible for Form:				
				
October 5, 2018				
SEC 2449 (1/99)				
<div>Act: Securities Exchange Act of 1934 Section: 19b-4 Rule: 19b-4(e) Public Availability: OCT 09 2018</div>				

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